

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA,

Plaintiff,

v.

\$1,478,991.75 OF FUNDS IN THE NAME  
OF MARITIME ASSISTANCE LLC.; and

\$1,478,991.74 OF FUNDS IN THE NAME  
OF MARITIME ASSISTANCE LLC.

Defendants.

Civil Action No. \_\_\_\_\_

**UNITED STATES' VERIFIED COMPLAINT FOR FORFEITURE *IN REM***

COMES NOW, Plaintiff the United States of America, by and through the United States Attorney for the District of Columbia, and brings this verified complaint for forfeiture in a civil action *in rem* against the defendant properties, namely: (1) \$1,478,991.75 of funds in the name of Maritime Assistance LLC held at U.S. Bank 1 and (2) \$1,478,991.74 of funds in the name of Maritime Assistance LLC. held at U.S. Bank 1. (the "Defendant Funds");<sup>1</sup> and alleges as follows.

**NATURE OF ACTION AND THE DEFENDANT *IN REM***

1. This *in rem* forfeiture action arises out of an investigation by the Federal Bureau of Investigation ("FBI"), of a scheme to launder funds via U.S. correspondent accounts as part of an illicit procurement network for the purpose of evading U.S. sanctions related to Syria. Joint Stock Company Sovfracht ("Sovfracht"), Maritime Assistance LLC ("Maritime"), and their co-conspirators employed sophisticated evasion techniques and front companies in dealing with the

<sup>1</sup> The Defendant Funds are held in a blocked funds account.

U.S. financial system to disguise the true nature of their illicit business dealings, as well as to protect and promote the on-going illicit procurement scheme. Their actions evidence a long-term conspiracy to illegally procure items for, or on behalf of, sanctioned entities, in violation of the International Emergency Economic Powers Act (“IEEPA”) and the federal money laundering statute.

2. The Defendant Funds are subject to forfeiture pursuant to 18 U.S.C. § 981(a)(1)(C), as property constituting or derived from proceeds traceable to violations of IEEPA, codified at 50 U.S.C. § 1701 *et seq.* In addition, the Defendant Funds are subject to forfeiture pursuant to 18 U.S.C. § 981(a)(1)(A), as property involved in, and traceable to violations of IEEPA, in violation of 18 U.S.C. §§ 1956(h), 1956(a)(2)(A).

### **JURISDICTION AND VENUE**

3. This Court has jurisdiction over this action pursuant to 28 U.S.C. §§ 1345 and 1355.

4. Venue is proper pursuant to 28 U.S.C. § 1355(b)(1)(A) because acts and omissions giving rise to the forfeiture took place in the District of Columbia. The Defendant Funds are currently in bank accounts at a bank in the United States (U.S. Bank 1). The coconspirators (identified below) failed to seek licenses from the Office of Foreign Asset Controls (“OFAC”), which is located in Washington, D.C., for conducting transactions with these funds, in violation of U.S. law. Venue is also proper pursuant to 28 U.S.C. § 1355(b)(2), because at the time the transactions and seizures occurred, the properties were part of a compound transaction that began in a foreign country.

## **STATUTORY FRAMEWORK**

### **I. THE IEEPA AND RELATED EXECUTIVE ORDER REGARDING SYRIA**

5. This civil forfeiture action relates to violations of the regulations and Executive Orders issued pursuant to IEEPA, codified at 50 U.S.C. § 1701 *et seq.* IEEPA gives the President certain powers, defined in Section 1702, to deal with any threats with respect to which the President has declared a national emergency, and prescribes criminal penalties for violations thereunder. See 50 U.S.C. § 1705(a). A conspiracy to violate IEEPA is prohibited by 18 U.S.C. § 371.

6. According to Executive Order (hereinafter “E.O.”) 13582, “Blocking Property of the Government of Syria and Prohibiting Certain Transactions With Respect to Syria,” all property and interests in property of those individuals and entities designated by E.O. 13582, which are in the United States, which hereafter come into the United States, or which are or hereafter come within the possession of a United States person, are blocked.

7. Entities designated by E.O. 13582 include (i) the Bashar al-Assad (“Assad”) led Government of Syria, including all of its agencies, instrumentalities, and controlled entities; (ii) any person determined by the Secretary of the Treasury “to have materially assisted, sponsored, or provided financial, material, or technological support for, or goods or services in support of, any person whose property and interests in property are blocked pursuant to” E.O. 13582; and (iii) any person determined by the Secretary of the Treasury “to be owned or controlled by, or to have acted or purported to act for or on behalf of, directly or indirectly, any person whose property and interests in property are blocked pursuant to” E.O. 13582.

8. In effect, these authorities bar any and all designated entities from transacting in United States dollars, when such transactions pass through correspondent banks in the United States.

9. Additionally, any transaction within the United States which evades or avoids, has the purpose of evading or avoiding, or attempts to violate any of the prohibitions set forth in E.O. 13582 is similarly prohibited.

10. IEEPA makes it a crime to attempt to commit, conspire to commit, or aid and abet in the commission of any violation of E.O. 13582.

## **II. MONEY LAUNDERING STATUTE**

11. Pursuant to 18 U.S.C. § 1956(a)(2)(A) (the international promotional money laundering statute) it is a violation to transport, transmit, and transfer, and attempting to transport, transmit, and transfer a monetary instrument or funds, *inter alia*, to a place in the United States from or through a place outside the United States with the intent to promote the carrying on of specified unlawful activity.

12. Pursuant to 18 U.S.C. § 1956(c)(7)(D), the term “specified unlawful activity,” includes a violation of the penalties of IEEPA.

13. Pursuant to 18 U.S.C. § 1956(h), it is a violation to conspire to violate Section 1956.

## **III. THE FORFEITURE STATUTE**

14. Pursuant to 18 U.S.C. § 981(a)(1)(C), any property, real or personal, which constitutes or is derived from proceeds traceable to a violation of IEEPA is subject to forfeiture.

15. Pursuant to 18 U.S.C. § 981(a)(1)(A), any property, real or personal, involved in a transaction or attempted transaction, in violation of 18 U.S.C. § 1956, or any property traceable to such property is subject to civil forfeiture. Forfeiture pursuant to this statute applies to a larger class of property than forfeiture under 18 U.S.C. § 981(a)(1)(C) because it applies to more than just the proceeds of the crime. Money laundering forfeiture is required for all property “involved

in” the crime, which can include clean or legitimate money that is comingled with tainted money derived from illicit sources.

## **FACTS GIVING RISE TO FORFEITURE**

### **I. BACKGROUND**

#### **A. Syrian Sanctions**

16. On May 11, 2004, the President issued Executive Order 13338, declaring a national emergency to deal with the unusual and extraordinary threat to national security, foreign policy, and economy of the United States posed by the actions of the Government of Syria in supporting terrorism, continuing its occupation of Lebanon, pursuing weapons of mass destruction and missile programs, and undermining United States and international efforts with respect to the stabilization and reconstruction of Iraq. Executive Order No. 13338 has been continued and expanded by Executive Order Nos., 13399, 13460, 13572, 13573, 13582, 13606, and 13608 (collectively, the “Syria Executive Orders”).

17. The Syria Executive Orders imposed economic sanctions on Syria. They prohibited, among other things, the exportation, re-exportation, sale, or supply, directly or indirectly, to Syria of any goods, technology, or services from the United States or by a United States person. The Syria Executive Orders also prohibited any transaction by any United States person or within the United States that evaded or avoided, or had the purpose of evading or avoiding, any prohibition set forth in the Syria Executive Orders.

18. The Syria Executive Orders authorized the United States Secretary of the Treasury, in consultation with the United States Secretary of State, “to take such actions, including the promulgation of rules and regulations, as may be necessary to carry out” these sanctions against Syria. Pursuant to this authority, the Secretary of the Treasury, through the Office of Foreign

Assets Control (“OFAC”), promulgated the Syrian Sanctions Regulations, 31 C.F.R. Part 542, implementing the sanctions required by the Syria Executive Orders. The conduct described herein was unlawful under the Syrian Sanctions Regulations. The conduct described herein was unlawful under the Syria Related Sanctions Regulations.

19. Specifically, absent permission from OFAC in the form of a license, the Syrian Sanctions Regulations prohibited, among other things:

- a. The exportation, re-exportation, sale, or supply, directly or indirectly, from the United States, or by a United States person, wherever located, of any services to Syria;
- b. Any transaction by a U.S. person or within the United States that evades or avoids, has the purpose of evading or avoiding, causes a violation of, or attempts to violate any of the prohibitions set forth in the regulations;
- c. United States persons, wherever located, from approving, financing, facilitating, or guaranteeing a transaction by a foreign person where the transaction by that foreign person would be prohibited by the Regulations if performed by a United States person or within the United States;
- d. Transactions in property that was in the United States, came within the United States, or that was or came within the possession or control of any United States person, including any foreign branch, of the Government of Syria or any other person whose property and interests in property are blocked;
- e. Transactions involving persons who materially assisted, sponsored, or provided financial, material, or technological support for, or goods or services in support of, the Government of Syria or any other person whose property and interests in property are blocked; and

f. Transactions involving blocked property owned or controlled by, directly or indirectly, the Government of Syria or any other person whose property and interests in property are blocked.

**B. Ukrainian and Crimea Region Sanctions**

20. Beginning on March 6, 2014, the President issued Executive Order 13660 declaring a national emergency to deal with the threat posed by the actions and policies of certain persons who had undermined the democratic process and institutions in Ukraine; threatened the peace, security, stability, sovereignty, and territorial integrity of Ukraine; and contributed to the misappropriation of Ukraine's assets. Executive Orders No. 13660 has been continued and expanded by Executive Order Nos., 13661, 13662, and 13685 (collectively, the "Ukraine Executive Orders").

21. The Ukraine Executive Orders together authorize, among other things, the imposition of sanctions against: persons responsible for or complicit in certain activities with respect to Ukraine; officials of the Government of the Russian Federation; persons operating in the arms or related material sector of the Russian Federation; individuals and entities operating in the Crimea region of Ukraine; and entities operating in specified sectors of the Russian Federation economy. The Ukraine Executive Orders also prohibit the importation or exportation of goods, services, or technology to or from the Crimea region of Ukraine, and prohibits new investment in the Crimea region of Ukraine by a U.S. person, wherever located.

22. The Ukraine Executive Orders authorized the United States Secretary of the Treasury, in consultation with the United States Secretary of State, "to take such actions, including the promulgation of rules and regulations . . . as may be necessary to carry out the purposes of this Order." Pursuant to this authority, the Secretary of the Treasury, through the Office of Foreign

Assets Control (“OFAC”), promulgated the Ukraine Related Sanctions Regulations, 31 C.F.R. Part 589, implementing the sanctions required by the Ukraine Executive Orders. The conduct described herein was unlawful under the Ukraine Related Sanctions Regulations.

23. Specifically, absent permission from OFAC in the form of a license, the Ukraine Related Sanctions Regulations prohibited, among other things: all transactions prohibited pursuant to the Ukraine Executive Orders, including the provision of services by U.S. persons for individuals and entities designated pursuant to the Ukraine Executive Orders and listed on the List of Specially Designated Nationals (“SDN”) and Blocked Persons (SDN list).

**C. Entities and Individuals Sanctioned**

24. On May 18, 2011, OFAC designated Syrian President Assad and the Syrian regime for human rights abuses, including repression of the Syrian people.

25. Ports Baniyas and Tartous, Syria were major ports used by the Syrian government to import and export oil.

26. The government-owned Syrian Company for Oil Transport (“SCOT”) acted as the Port Authority for Ports Baniyas and Tartous. On August 18, 2011, OFAC designated SCOT pursuant to Executive Order 13582, for managing Syria’s oil export/import terminals at Ports Baniyas and Tartous.

27. The government-owned Baniyas Refinery Company was responsible for processing oil imported into Port Baniyas. On May 8, 2014, OFAC designated the Baniyas Refinery Company, pursuant to Executive Order 13582, for processing petroleum that was imported into the Syrian Port of Baniyas. The designation noted that the Syrian regime used Port Baniyas to illicitly import millions of dollars’ worth of energy products including petroleum gas and gasoil.



28. The government-owned Syrian Shipping Agencies Company (“SHIPCO”) acted as the Port Agent for shipments into Ports Banias and Tartous. On August 3, 2015, OFAC designated SHIPCO pursuant to Executive Order 13582, for being property in which the Government of Syria had an interest.

29. JOINT STOCK COMPANY Sovfracht (“Sovfracht”) was a Russian shipping company and freight forwarder. According to Sovfracht, it focused on providing transport and logistics services with a focus on rail transportation, overseas shipping, and oil products. Freight forwarders organize shipments for individuals or corporations to get goods from the manufacturer or producer to a market.

30. On September 1, 2016, OFAC designated Sovfracht pursuant to the Ukraine Executive Orders, because the company operated in the Crimea region of Ukraine. The designation prohibited Sovfracht from engaging in any U.S. dollar transactions that occurred in whole or in part in the United States, and prohibited any good, technology, or services from being exported, re-exported, sold or supplied, directly or indirectly, from the United States to Sovfracht. This prohibition prevented Sovfracht from engaging in U.S. dollar wire transactions, which transited through the U.S. financial system, whether or not the wire transactions related to Crimea.

31. On or about September 9, 2016, the government sent notice of a forfeiture action of approximately \$2,585,340.45, which funds OFAC had blocked when Sovfracht wired the funds through the United States financial system to Cypriot Company Petrolina (Holdings) Public Ltd, as part of a scheme to send jet fuel to Port Banias, Syria. The forfeiture action noted that such U.S. dollar payments were in violation of IEEPA and money laundering laws.

32. Transpetrochart CO. LTD. (“Transpetrochart”) was a Russian based vessel operator. Transpetrochart has owned and operated the MUKHALATKA and YAZ, both of which

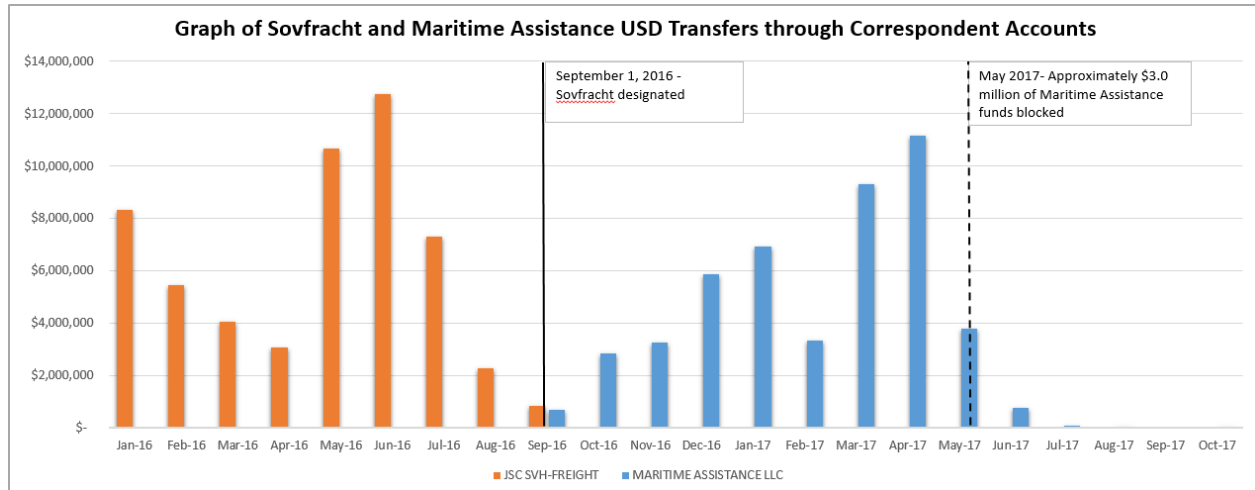
are petroleum tankers that Sovfracht used to ship fuel to Syria. On December 20, 2016, OFAC designated Transpetrochart pursuant to Executive Order 13685 for working with Sovfracht. The designation prohibited Transpetrochart from engaging in any U.S. dollar transactions that occurred in whole or in part in the United States, and prohibited any good, technology, or services from being exported, re-exported, sold or supplied, directly or indirectly, from the United States to Transpetrochart. This prohibition prevented Transpetrochart from engaging in U.S. dollar wire transactions, which transited through the U.S. financial system, whether or not the wire transactions related to Sovfracht or Crimea.

33. The Syrian Sanctions, as well as the subsequent designation of the Baniyas Refinery Company, also prohibited Sovfracht and Transpetrochart from transporting petroleum into Port Baniyas and using the Baniyas Refinery Company, where any related wire transaction transited the United States financial system.

34. On June 12, 2018, the U.S. Attorney's Office in the District of Columbia indicted five Sovfracht employees, identified as Ivan Okorokov, Karen Stepanyan, Ilya Loginov, Alexy Konkov, and Liudmila Shmelkova as well as three individuals in Syria who assisted Sovfracht to circumvent U.S. sanctions against Sovfracht and Syria, identified as Yaser Naser, Farid Bitar, and Gabriel Bitar.

**B. Sovfracht Began Using Maritime Assistance to Wire Funds After Designation**

35. After Sovfracht's designation, it stopped sending U.S. dollar wires through correspondent accounts. At the same time, Maritime Assistance LLC ("MARITIME") began sending U.S. dollar wires through correspondent accounts, as depicted in the following chart:



36. Many of the wire transfers sent by MARITIME referenced contracts negotiated by Sovfracht and on many of these wire transfers MARITIME listed the contract number and the date the contract was negotiated. Additionally, many of the wire transfers listed “Assig.Agr”, “Assignm.agr” or “Assign.agr”, which is short for “Assignment Agreement.”

37. One Company which dealt with both Sofvracht and Maritime Assistance provided an assignment agreement involving these parties. The assignment agreement listed Maritime Assistance as a “substitute debtor” for Sovfracht, indicating that Maritime Assistance was merely paying Sovfracht’s debt on behalf of Sovfracht.

38. Between January 1, 2016 and September 1, 2016 (the date when OFAC designated Sovfracht), Sovfracht sent or received approximately 324 wires worth approximately \$54,784,416.

39. Between September 28, 2016 and October 3, 2017, Maritime Assistance sent or received approximately 296 wires worth approximately \$48,132,774.

40. Numerous wire transfers provide additional examples of instances where Sovfracht assigned its U.S. dollar debts to Maritime Assistance. In all of these instances, Sovfracht was prohibited from sending U.S. dollars, making these transfers a violation of the sanctions against Sovfracht.

**C. Sovfracht's Post-Designation Ship-to-Ship Transfers Paid in U.S. Dollars**

41. On January 14, 2011, Sovfracht entered into an agreement with a company to provide bunkering services, including the provision of fuel. This agreement was listed as S/A-14/01/11.

42. An invoice dated October 31, 2016 between this company and Maritime Assistance listed "Ships bunker supply Agreement S/A-14/01/11 dated 14 January 2011" on the bottom of the form. The invoice was for 4,987.993 metric tons of jet fuel that was loaded onto a petroleum tanker ("Petroleum Tanker 1") on October 1, 2016. On September 30, 2016, petroleum tanker Mukhalatka received instructions to receive 5 kilotons of jet fuel from Petroleum Tanker 1 via a ship-to-ship transfer.

43. A separate invoice to Maritime Assistance dated December 8, 2016, revealed a transfer of 9,965.708 metric tons of jet fuel to a petroleum tanker ("Petroleum Tanker 2") on November 13, 2016 for \$5,227,013.85, which listed order number 347309. Maritime Assistance wired U.S. dollars, which transited through the United States, for this order in two installments of \$2,601,516.85 on December 9, 2016 and \$2,625,496.99 on December 12, 2016. On November 25, 2016, the captain of petroleum tanker Mukhalatka emailed indicted Sovfracht employees documents showing that the petroleum tanker Mukhalatka received 5005.714 metric tons of jet fuel from Petroleum Tanker 2 on or about November 21, 2016, reflecting Sovfracht's involvement in this shipment. The Captain of the petroleum tanker Mukhalatka also sent a document showing that the petroleum tanker Mukhalatka arrived at Port Banias on November 23, 2016 and was ready to discharge 5005.714 metric tons of jet fuel.

44. Another invoice to Maritime Assistance dated May 31, 2017, revealed a transfer of 5,058.671 metric tons of jet fuel delivered to the petroleum tanker Yaz, which was owned by

OFAC designated Transpetrochart, and as such was a violation of the sanctions against Sovfracht and Transpetrochart, on May 15, 2017 for \$2,978,849.01. On May 15, 2017, the Captain of the petroleum tanker Yaz emailed Sovfracht employee Ivan Okorokov the bill of lading for 5,058.671 metric tons of jet fuel, again reflecting Sovfracht's involvement with this shipment.

## **II. SHIP-TO-SHIP TRANSFERS INVOLVING A FOREIGN TRADING COMPANY**

45. On October 28, 2016, a Sovfracht employee emailed two employees of a foreign trading company ("Company 1") a contract between Maritime Assistance and Company 1. Later that day, the Sovfracht employee received provisional invoice between Company 1 and Maritime Assistance, for the sale of 5,000.00 metric tons of gasoil valued at \$2,656,250.00.

46. On March 10, 2017, a Sovfracht employee asked an employee of Company 1 about loading 5000 metric tons of Jet A-1 onto the petroleum tanker Mukhalatka. The Sovfracht employee stated: "Loading possible only in Turkey ports (her Owner in OFAC list)."

47. On April 5, 2017, Company 1 employee emailed a Sovfracht employee instructions on how to communicate about the delivery of 5000 metric tons of Jet A1 fuel. The instructions indicated that the Company 1 employee wanted to receive updates about the shipment at an alias email address.

48. On April 7, 2017, a Sovfracht employee emailed the alias email address for the Company 1 employee a receipt of two payments of \$1,373,592.58 on April 5, 2017 and \$1,373,592.59 on April 6, 2017, from Maritime Assistance to Company 1, which listed the contract number in the remittance information.

49. In total, Maritime Assistance wired Company 1 at least \$7,830,168.66 for petroleum products between October 2016 and May 2017. These U.S. dollar wires were for the

benefit of Sovfracht, which was designated by OFAC at this time and as such were a violation of the sanctions against Sovfracht.

50. Records from a European petroleum bunkering company (“Company 2”) revealed that Maritime Assistance contacted Company 2 in December 2016 to purchase 4,989.604 metric tons of Jet A1 from Company 1. Company 2 wired \$2,910,036.84 to Company 1 on January 18, 2017 for this petroleum. Company 2 then assisted Maritime Assistance to conduct a ship-to-ship transfer of the petroleum to the petroleum tanker Yaz, which was owned by OFAC designated Transpetrochart, and as such was a violation of the sanctions against Sovfracht and Transpetrochart.

51. In total, Company 1 was a party to at least \$10,740,205.50 in wires and attempted wires related to the above-described illegal scheme.

### **III. DEFENDANT FUNDS FROM MARITIME ASSISTANCE TO COMPANY 1, TRACEABLE TO DESIGNATED ENTITY AT PORT BANIAS, SYRIA**

52. The Defendant Funds are two wire payments from Maritime Assistance to Company 1 for \$1,478,991.75 on May 10, 2017 and \$1,478,991.74 on May 11, 2017. Both of these payments are held at U.S. Bank 1.

53. The bank to bank instructions on these payments listed contract and invoice numbers used by Maritime Assistance and Company 1.

54. On April 20, 2017, a Company 1 employee sent a Sovfracht employee an invoice, which stated that it was for 5,047.495 metric tons of Jet A-1 valued at \$2,957,983.49.

55. This amount is equal to the value of the Defendant Funds.

56. On May 2, 2017, Farid Bitar, the indicted petroleum inspector at Port Banias, Syria, emailed that the petroleum tanker Mukhalatka delivered 5,047.495 metric tons of Jet A-1 to Port Banias, Syria on April 26, 2017, which is the exact amount of fuel that invoice 117/A listed. The

Jet A-1 fuel was inspected by the Banias Refinery Company, which was designated when they conducted the inspection and remains designated by OFAC.

57. A Sovfracht employee subsequently emailed information about the blocking of the Defendant Funds to other Sovfracht employees. In response, a Sovfracht employee stated in Russian, “MA [Maritime Assistance] has been burned, i.e. It’s inadvisable to make further use of it. Small payments from MA in dollars may go through for a while, but we can’t risk sending large payments. We’ve got to create a new (1 or more) clean company.”

**COUNT ONE – FORFEITURE**

**(18 U.S.C. § 981(A)(1)(C))**

58. The United States incorporates by reference the allegations set forth in Paragraphs 1 to 57 above as if fully set forth herein.

59. Sovfracht, Maritime, and others known and unknown acted individually and conspired together to conduct the above identified illegal procurements, payments, and exports, in violation of IEEPA, specifically 50 U.S.C. § 1705 et seq. and the conspiracy statute, 18 U.S.C. § 371.

60. As such, the Defendant Funds are subject to forfeiture, pursuant to 18 U.S.C. § 981(a)(1)(C), as property which constitutes or is derived from proceeds traceable to a conspiracy to violate IEEPA.

**COUNT TWO – FORFEITURE**

**(18 U.S.C. § 981(A)(1)(A))**

61. The United States incorporates by reference the allegations set forth in Paragraphs 1 to 57 above as if fully set forth herein.

62. Sovfracht, Maritime, and others known and unknown acted individually and conspired to transmit and transfer the Defendant Funds to a place inside the United States from or through a place outside the United States, with the intent to promote the carrying on of violations of the penalties of IEEPA, in violation of 18 U.S.C. §§ 1956(h), 1956(a)(2)(A).

63. As such, the Defendant Funds are subject to forfeiture to the United States, pursuant to 18 U.S.C. § 981(a)(1)(A), as property involved in transactions in violation of 18 U.S.C. § 1956(h), 1956(a)(2)(A), or as any property traceable to such property.

## **PRAYER FOR RELIEF**

WHEREFORE, the United States of America prays that notice issue to the defendant properties as described above; that due notice be given to all parties to appear and show cause why the forfeiture should not be decreed; that judgment be entered declaring that the defendant property be forfeited to the United States of America for disposition according to law; and that the United States of America be granted such other relief as this Court may deem just and proper, together with the costs and disbursements of this action.

Dated: December 28, 2018

Respectfully submitted,

JESSIE K. LIU  
United States Attorney

By: /s/  
ZIA M. FARUQUI, D.C. Bar No. 494990  
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BRIAN P. HUDAK,  
Assistant United States Attorneys  
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*Attorneys for the United States of America*



**VERIFICATION**

I, Samuel Small, a Special Agent with the Federal Bureau of Investigation, declare under penalty of perjury, pursuant to 28 U.S.C. § 1746, that the foregoing Verified Complaint for Forfeiture *In Rem* is based upon reports and information known to me and/or furnished to me by other law enforcement representatives and that everything represented herein is true and correct.

Executed on this 28<sup>th</sup> day of December, 2018.

\_\_\_\_\_/s/  
Samuel Small  
Special Agent  
Federal Bureau of Investigation

## CIVIL COVER SHEET

JS-44 (Rev. 5/12 DC)

<b>I. (a) PLAINTIFFS</b> United States of America c/o U.S. Attorney's Office 555 Fourth Street, N.W. Washington, D.C. 20530	<b>DEFENDANTS</b> \$1,478,991.75 OF FUNDS IN THE NAME OF MARITIME ASSISTANCE LLC., and \$1,478,991.74 OF FUNDS IN THE NAME OF MARITIME ASSISTANCE LLC.  COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT _____ (IN U.S. PLAINTIFF CASES ONLY) <small>NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED</small>
(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF _____ (EXCEPT IN U.S. PLAINTIFF CASES)	ATTORNEYS (IF KNOWN)
(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER) Zia M. Faruqi (202) 252-7117 Arvind K. Lal (202) 252-7965 Assistant United States Attorneys 555 4th Street, N.W., 11th Floor Washington, DC 20530	ATTORNEYS (IF KNOWN)

<b>II. BASIS OF JURISDICTION</b> (PLACE AN x IN ONE BOX ONLY)	<b>III. CITIZENSHIP OF PRINCIPAL PARTIES</b> (PLACE AN x IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT) <b>FOR DIVERSITY CASES ONLY!</b>																								
<div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="radio"/> 1 U.S. Government Plaintiff         </div> <div> <input type="radio"/> 3 Federal Question (U.S. Government Not a Party)         </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div> <input type="radio"/> 2 U.S. Government Defendant         </div> <div> <input type="radio"/> 4 Diversity (Indicate Citizenship of Parties in item III)         </div> </div>	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;">PTF</th> <th style="text-align: center;">DFT</th> <th></th> <th style="text-align: center;">PTF</th> <th style="text-align: center;">DFT</th> </tr> </thead> <tbody> <tr> <td>Citizen of this State</td> <td style="text-align: center;"><input type="radio"/> 1</td> <td style="text-align: center;"><input type="radio"/> 1</td> <td>Incorporated or Principal Place of Business in This State</td> <td style="text-align: center;"><input type="radio"/> 4</td> <td style="text-align: center;"><input type="radio"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td style="text-align: center;"><input type="radio"/> 2</td> <td style="text-align: center;"><input type="radio"/> 2</td> <td>Incorporated and Principal Place of Business in This State</td> <td style="text-align: center;"><input type="radio"/> 5</td> <td style="text-align: center;"><input type="radio"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td style="text-align: center;"><input type="radio"/> 3</td> <td style="text-align: center;"><input type="radio"/> 3</td> <td>Foreign Nation</td> <td style="text-align: center;"><input type="radio"/> 6</td> <td style="text-align: center;"><input type="radio"/> 6</td> </tr> </tbody> </table>		PTF	DFT		PTF	DFT	Citizen of this State	<input type="radio"/> 1	<input type="radio"/> 1	Incorporated or Principal Place of Business in This State	<input type="radio"/> 4	<input type="radio"/> 4	Citizen of Another State	<input type="radio"/> 2	<input type="radio"/> 2	Incorporated and Principal Place of Business in This State	<input type="radio"/> 5	<input type="radio"/> 5	Citizen or Subject of a Foreign Country	<input type="radio"/> 3	<input type="radio"/> 3	Foreign Nation	<input type="radio"/> 6	<input type="radio"/> 6
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## IV. CASE ASSIGNMENT AND NATURE OF SUIT

(Place an X in one category, A-N, that best represents your Cause of Action and one in a corresponding Nature of Suit)

<input type="radio"/> <b>A. Antitrust</b>  <input type="checkbox"/> 410 Antitrust	<input type="radio"/> <b>B. Personal Injury/Malpractice</b>  <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Medical Malpractice <input type="checkbox"/> 365 Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Product Liability	<input type="radio"/> <b>C. Administrative Agency Review</b>  <input type="checkbox"/> 151 Medicare Act  <u>Social Security</u> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <u>Other Statutes</u> <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 890 Other Statutory Actions (If Administrative Agency is Involved)	<input type="radio"/> <b>D. Temporary Restraining Order/Preliminary Injunction</b>  Any nature of suit from any category may be selected for this category of case assignment.  *(If Antitrust, then A governs)*
<input checked="" type="radio"/> <b>E. General Civil (Other)</b> OR <input type="radio"/> <b>F. Pro Se General Civil</b>			
<u>Real Property</u> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent, Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property  <u>Personal Property</u> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<u>Bankruptcy</u> <input type="checkbox"/> 422 Appeal 27 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157  <u>Prisoner Petitions</u> <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Conditions <input type="checkbox"/> 560 Civil Detainee – Conditions of Confinement  <u>Property Rights</u> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark  <u>Federal Tax Suits</u> <input type="checkbox"/> 870 Taxes (US plaintiff or defendant) <input type="checkbox"/> 871 IRS-Third Party 26 USC 7609	<u>Forfeiture/Penalty</u> <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input checked="" type="checkbox"/> 690 Other  <u>Other Statutes</u> <input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 430 Banks & Banking <input type="checkbox"/> 450 Commerce/ICC Rates/etc. <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions <input type="checkbox"/> 470 Racketeer Influenced & Corrupt Organization	<input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Satellite TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes <input type="checkbox"/> 890 Other Statutory Actions (if not administrative agency review or Privacy Act)

<input type="radio"/> <b>G. Habeas Corpus/ 2255</b>  <input type="checkbox"/> 530 Habeas Corpus – General <input type="checkbox"/> 510 Motion/Vacate Sentence <input type="checkbox"/> 463 Habeas Corpus – Alien Detainee	<input type="radio"/> <b>H. Employment Discrimination</b>  <input type="checkbox"/> 442 Civil Rights – Employment (criteria: race, gender/sex, national origin, discrimination, disability, age, religion, retaliation)  *(If pro se, select this deck)*	<input type="radio"/> <b>I. FOIA/Privacy Act</b>  <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 890 Other Statutory Actions (if Privacy Act)  *(If pro se, select this deck)*	<input type="radio"/> <b>J. Student Loan</b>  <input type="checkbox"/> 152 Recovery of Defaulted Student Loan (excluding veterans)
<input type="radio"/> <b>K. Labor/ERISA (non-employment)</b>  <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 740 Labor Railway Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<input type="radio"/> <b>L. Other Civil Rights (non-employment)</b>  <input type="checkbox"/> 441 Voting (if not Voting Rights Act) <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 445 Americans w/Disabilities – Employment <input type="checkbox"/> 446 Americans w/Disabilities – Other <input type="checkbox"/> 448 Education	<input type="radio"/> <b>M. Contract</b>  <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholder's Suits <input type="checkbox"/> 190 Other Contracts <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<input type="radio"/> <b>N. Three-Judge Court</b>  <input type="checkbox"/> 441 Civil Rights – Voting (if Voting Rights Act)

**V. ORIGIN**  
☒ 1 Original Proceeding  
 ☐ 2 Remand from State Court  
 ☐ 3 Remanded from Appellate Court  
 ☐ 4 Reinstated or Reopened  
 ☐ 5 Transferred from another district (specify)  
 ☐ 6 Multi-district Litigation  
 ☐ 7 Appeal to District Judge from Mag. Judge

**VI. CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE.)**  
 18 U.S.C. Sections 981(a)(1)(C)-forfeiture of property constituting or derived from proceeds traceable to ...

<b>VII. REQUESTED IN COMPLAINT</b>	CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23 <input type="checkbox"/>	<b>DEMAND \$</b>	<b>JURY DEMAND:</b>
			Check YES only if demanded in complaint YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>

<b>VIII. RELATED CASE(S) IF ANY</b>	(See instruction)	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	If yes, please complete related case form
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<b>DATE:</b> 12/28/2018	<b>SIGNATURE OF ATTORNEY OF RECORD</b> /s/ Zia M. Faruqui
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**INSTRUCTIONS FOR COMPLETING CIVIL COVER SHEET JS-44**  
 Authority for Civil Cover Sheet

The JS-44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and services of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. Listed below are tips for completing the civil cover sheet. These tips coincide with the Roman Numerals on the cover sheet.

- I.** COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF/DEFENDANT (b) County of residence: Use 11001 to indicate plaintiff if resident of Washington, DC, 88888 if plaintiff is resident of United States but not Washington, DC, and 99999 if plaintiff is outside the United States.
- III.** CITIZENSHIP OF PRINCIPAL PARTIES: This section is completed only if diversity of citizenship was selected as the Basis of Jurisdiction under Section II.
- IV.** CASE ASSIGNMENT AND NATURE OF SUIT: The assignment of a judge to your case will depend on the category you select that best represents the primary cause of action found in your complaint. You may select only one category. You must also select one corresponding nature of suit found under the category of the case.
- VI.** CAUSE OF ACTION: Cite the U.S. Civil Statute under which you are filing and write a brief statement of the primary cause.
- VIII.** RELATED CASE(S), IF ANY: If you indicated that there is a related case, you must complete a related case form, which may be obtained from the Clerk's Office.

Because of the need for accurate and complete information, you should ensure the accuracy of the information provided prior to signing the form.

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA

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UNITED STATES OF AMERICA,

Plaintiff,

v.

\$1,478,991.75 OF FUNDS IN THE NAME  
OF MARITIME ASSISTANCE LLC.; and

\$1,478,991.74 OF FUNDS IN THE NAME  
OF MARITIME ASSISTANCE LLC.,

Defendants In Rem..

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Civil Action No. \_\_\_\_\_

**WARRANT FOR ARREST *IN REM***

TO: THE UNITED STATES MARSHAL'S SERVICE AND/OR ANY OTHER DULY  
AUTHORIZED LAW ENFORCEMENT OFFICER:

WHEREAS a Verified Complaint for Forfeiture *In Rem* has been filed in the United States District Court for the District of Columbia, on the 28<sup>th</sup> day of December, 2018, alleging that the above defendant properties are subject to seizure and forfeiture to the United States pursuant to 18 U.S.C. § 981(a)(1);

YOU ARE, THEREFORE, HEREBY COMMANDED to serve the defendant properties, thus bringing, within the jurisdiction of the Court, said defendant properties, more fully described as:

**\$1,478,991.75 OF FUNDS IN THE NAME OF MARITIME ASSISTANCE LLC., and**

**\$1,478,991.74 OF FUNDS IN THE NAME OF MARITIME ASSISTANCE LLC.**

YOU ARE FURTHER COMMANDED, promptly after execution of this process, to file the same in this Court with your return thereon, identifying the individuals upon whom copies were served and the manner employed, unless, pursuant to Rule G(3)(c)(ii)(A) of the Supplemental Rules for Admiralty or Maritime Claims and Asset Forfeiture Actions, the defendant properties are in the government's possession, custody, or control.

Dated: December 28, 2018

\_\_\_\_\_  
Clerk of the Court

By: \_\_\_\_\_  
Deputy Clerk